

12/28/00



JC96D U.S. PTO

12-29-

JC9521 U.S. PTO  
09/25/01  
12/28/00

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 15939-17  
 Inventor(s) : Shixin Chen et al.  
 Title : ELECTRIC SPINDLE MOTOR AND METHOD HAVING MAGNETIC  
 STARTING/STOPPING DEVICE  
 Express Mail Label No. : EL585712215US

**ADDRESS TO:** Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

Date: December 28, 2000

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).
2. **IF A CONTINUING APPLICATION**  
 \_\_\_\_\_ This application is a \_\_\_\_\_ of patent application No. \_\_\_\_\_.  
 Prior application information: Examiner ; Group Art Unit: \_\_\_\_\_  
 \_\_\_\_\_ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to  
 provisional Application No. \_\_\_\_\_.
3. **APPLICATION COMPRISED OF**  
**Specification**  
16 Specification, claims and Abstract (total pages)  
**Drawings**  
11 Sheets of drawing(s) (FIGS. 1 to 4.8)  
**Declaration and Power of Attorney**  
☒ Newly executed  
 \_\_\_\_\_ No executed declaration  
 \_\_\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)
4. \_\_\_\_\_ **Microfiche Computer Program** (Appendix)
5. \_\_\_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)  
 \_\_\_\_\_ Computer Readable Copy  
 \_\_\_\_\_ Paper Copy (identical to computer copy)  
 \_\_\_\_\_ Statement verifying identity of above copies
6. **ALSO ENCLOSED ARE**  
 \_\_\_\_\_ Preliminary Amendment  
 \_\_\_\_\_ A Petition for Extension of Time for the parent application and the required fee are enclosed  
 as separate papers  
☒ Small Entity Statement(s)  
 \_\_\_\_\_ Statement filed in parent application, status still proper and desired  
 \_\_\_\_\_ Copy of Statement filed in provisional application, status still proper and desired

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No.: 15939-17

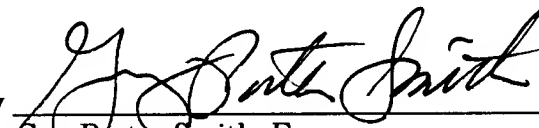
- ☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☒ Information Disclosure Statement (IDS)/PTO-1449
- ☒ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

**7. CORRESPONDENCE ADDRESS**

***OPPENHEIMER WOLFF & DONNELLY LLP, 2029 Century Park East, Suite 3800,  
Los Angeles, California 90067-3028***

Respectfully submitted,

OPPENHEIMER WOLFF & DONNELLY LLP

By   
Guy Porter Smith, Esq.  
Reg. No. 20,142  
310/788.5000

# FEE TRANSMITTAL UTILITY PATENT APPLICATION

#3  
for Authorized  
Attorney  
3-20

JC953 U.S. PTO  
09/750551  
12/28/00

DATE: December 28, 2000

Docket No. : 015939-17  
Inventor(s) : Shixin Chen et al.  
Title : ELECTRIC SPINDLE MOTOR AND METHOD HAVING MAGNETIC STARTING/STOPPING DEVICE

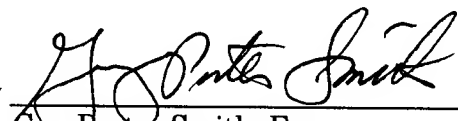
FEE CALCULATIONS					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIO NS
A	TOTAL CLAIMS	23 - 20 =	3	x \$9.00	\$27.00
B	INDEPENDENT CLAIMS	3 - 3 =		x \$40.00	
C	SMALL ENTITY FEE = A + B SUBTOTALLARGE ENTITY FEE = 2 X (A + B)				27.00
D	SMALL ENTITY FEE = \$355.00 BASIC FEELARGE ENTITY FEE = \$710.00				355.00
E	SMALL ENTITY FEE = \$135.00 MULTIPLE-DEPENDENT CLAIMS FEE LARGE ENTITY FEE = \$270.00				
F	TOTAL FILING FEE (ADD LINES C, D, AND E)				382.00
List Independent Claims: 1, 9 and 16					

## METHOD OF PAYMENT

- ☒ Payment Enclosed: Check for \$382.00 (filing fee) and \$40.00 (recording fee)
- ☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 16-2230. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

OPPENHEIMER WOLFF & DONNELLY LLP

By   
Guy Porter Smith, Esq.  
Reg. No. 20,142  
310/788.5000

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

01/04/2001 DTESSEM1 00000074 09750551

01 FC:201	355.00 OP
02 FC:203	27.00 OP